

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 450101-03301
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5) 10/018836
INTERNATIONAL APPLICATION NO. PCT/JP01/03412	INTERNATIONAL FILING DATE 20 APRIL 2001	PRIORITY DATE CLAIMED 21 APRIL 2000	
TITLE OF INVENTION ENCODING APPARATUS AND METHOD, RECORDING MEDIUM AND PROGRAM			
APPLICANT(S) FOR DO/EO/US Motoki KATO and Toshiya KAMADA			
<p>Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31) <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau) <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau) <input checked="" type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 35 U.S.C. 1.821 - 1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: PCT/RO/101, PCT/IB/301, 304, 308, PCT/ISA/210, PCT/IB/346 101 sheets of drawings 			
		<p align="center">EXPRESS MAIL</p> <p>Mailing Label Number EV001577435US</p> <p>Date of Deposit December 19, 2001</p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service</p> <p>"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231</p> <p align="center"><i>Barnet Shindelman</i> (Typed or printed name of person mailing paper or fee)</p> <p align="center"><i>Barnet Shindelman</i> (Signature of person mailing paper or fee)</p>	

U.S. APPLICATION NO. (41 CFR 1.50) 10/018836		INTERNATIONAL APPLICATION NO. PCT/JP01/03412		ATTORNEY'S DOCKET NO. 450101-03301	
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21. <input checked="" type="checkbox"/> The following fees are submitted				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37CFR 1.445(a)(2) paid to USPTO And International Search Report not prepared by the EPO or JPO				\$1040 00	
International preliminary examination fee (37 C F R 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO				... \$890 00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.445(a)(2)) paid to USPTO				\$740 00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) But all claims did not satisfy provisions of PCT Article 33(1)-(4)				\$710.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) And all claims satisfied provisions of PCT Article 33(1)-(4)				\$100 00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total Claims	<u>11</u> - 20 =	<u>0</u>	x \$18.00	\$ 000.00	
Independent Claims	<u>5</u> - 3 =	<u>2</u>	x \$84.00	\$ 168.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,058.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27 The fees indicated above are reduced by 1/2.				+	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1,058.00	
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property				+	
TOTAL FEES ENCLOSED =				\$ 1,058.00	
				Amount to be refunded:	\$
				Charged:	\$

a. ☒ One check in the amount of **\$1,058.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

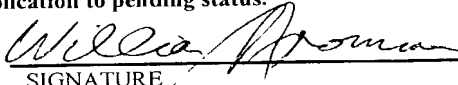
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 50-0320. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. **Credit
 card information should not be included on this form.** Provide credit card information and authorization
 on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**WILLIAM S. FROMMER, ESQ.
 FROMMER LAWRENCE & HAUG LLP
 745 FIFTH AVENUE
 NEW YORK, NEW YORK 10151**


 SIGNATURE

WILLIAM S. FROMMER
 NAME

25,506
 REGISTRATION NUMBER

Dated: December 19, 2001